# COMPLIANCE MANAGEMENT INVESTIGATION FORM

# mesa Community College

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| INCIDENT INFORMATION | | | informal complaint (date) | | | formal complaint (date) | | |
| Date complaint received: | | | | | Investigator: | | | |
| Complainant:  MCCCD Student ID# | | | | | Is alleged victim...  An MCC student ID#  A student athlete  Registered with DRS  A Veteran  An International Student | | | |
| Alleged:  MCCCD Student ID# | | | | |
| Witness(s): | | | | |
| Others involved: | | | | | Location of incident: | | | |
| Date of incident: | | | | |
| TYPE OF ALLEGED COMPLAINT(S) | | | | | WHAT POLICY/REGULATION APPLIES? | | | |
|  | | Instructional | |  | [College Code of Conduct/Student](https://chancellor.maricopa.edu/public-stewardship/governance/administrative-regulations/2-students/2.5-student-rights-and-responsibilities/2.5.2-student-conduct-code) | |  | [ADA](http://www.ada.gov/pubs/ada.htm) / [Section 504](http://www.dol.gov/oasam/regs/statutes/sec504.htm) |
|  | | Harassment | |  | [Age Discrimination Act of 1975](http://www.dol.gov/oasam/regs/statutes/age_act.htmhttp:/www.dol.gov/oasam/regs/statutes/age_act.htm) | |  | [Title IX](http://www.dol.gov/oasam/regs/statutes/titleix.htm) |
|  | | Discrimination | |  | [NJCAA](http://www.njcaa.org/landing/index) | |  | [Title VI](http://www.dol.gov/oasam/regs/statutes/titlevi.htm) |
|  | | Academic Misconduct | |  | [Clery Act](http://clerycenter.org/sites/default/files/Jeanne%20Clery%20Act%20Full%20Text.pdf) | |  | [FERPA](http://www.law.cornell.edu/uscode/text/20/1232g) |
|  | | Student Code of Conduct | |  | [MCCCD Administrative Regulations](https://administration.maricopa.edu/management-administration-technology-mat/policy/general-information) | |  | [Employee Policy Regulations](https://hr.maricopa.edu/supervisor-tools/policies) |
| Other: | | | | | | | | |
| Description of Alleged Complaint (Summary of Allegation): | | | | | | | | |
| Timeline of Events: | | | | | | | | |
| FINDINGS(S) | | | | | | | | |
|  | Responsible | | |  | Consensus | | | |
|  | Not Responsible | | |  | Non-consensus | | | |
|  | Dismissed | | |  | Satisfied | | | |
|  | FYI | | |  | Other | | | |
| Additional Information: | | | | | | | | |

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| RECOMMENDATIONS (CHECK ALL THAT APPLY) | | | | | |
|  | No Sanctions | | | Completion Date: | |
|  | Warning | | | Completion Date: | |
|  | Withdraw | | | Completion Date: | |
|  | Probation | | | Completion Date: | |
|  | No contact | | | Completion Date: | |
|  | Suspension | | | Completion Date: | |
|  | Expulsion | | | Completion Date: | |
|  | Restitution | | | Completion Date: | |
| Other Actions or Notes: | | | | | |
| Signature of Investigator:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date of Completion:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | |
| CONDUCT HEARING (upon appeal) | | | | | |
| Student Conduct Hearing | | **Hearing Type:**  Administrative  Hearing Panel  **Hearing Outcome:**  Policy Violation  No Policy Violation | | | Date Complaint Filed: |
| Hearing Date: |
| Decision Date: |
| **NOTIFICATION AND APPEAL (based on conduct hearing)** | | | | | |
|  | Outcome letter to accused | | Date of letter: | |  |
|  | Outcome letter to victim | | Date of letter: | |  |
|  | Accused appeal | | Date of appeal: | |  |
|  | Complainant appeal | | Date of appeal: | |  |
| STUDENT CONDUCT HEARING OFFICER:  RECOMMENDATIONS:  AFFIRM  REVERSE  MODIFY (DESCRIBE): | | | | | |
| SECOND APPEAL TO THE VPSA OR PRESIDENT:  RECOMMENDATIONS:  AFFIRM  REVERSE  MODIFY (DESCRIBE):  SECOND APPELLATE DECISION DATE: | | | | | |

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| **ADDITIONAL NOTES** |
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**Age Discrimination Act of 1975** [42 U.S.C. §§ 6101-6107](http://www.dol.gov/oasam/regs/statutes/age_act.htmhttp:/www.dol.gov/oasam/regs/statutes/age_act.htm) Prohibits discrimination based on age in educational or academic programs or activities that receive federal financial assistance.

**Americans with Disabilities Act** [42 U.S.C. §§ 12101-12213](http://www.ada.gov/pubs/ada.htm) Provides broad nondiscrimination protection in employment, public services, and public accommodations (including colleges and universities) for individuals with disabilities. Requires reasonable accommodations (e.g., a modification or adjustment to the status quo inherent in the program or activity) to allow a qualified person with a disability to participate fully in the educational or academic programs and activities of the university.

**Section 504 of The Rehabilitation Act of 1973** [29 U.S.C. § 701](http://www.dol.gov/oasam/regs/statutes/sec504.htm) Prohibits discrimination on the basis of disability at any federally-funded institution. This covers admissions, recruitment, educational and/or academic programs and services

**Campus Sex Crimes Prevention Act** (§ 1601 of the Victims of Trafficking and Violence Protection Act of 2000)   
[Public Law No. 106-386 (Title VI)](http://www.state.gov/documents/organization/10492.pdf) The Campus Sex Crimes Prevention Act requires sex offenders, who must register under state law, to provide notice of enrollment or employment at any institution of higher education (IHE) in that state where the offender resides, as well as notice of each change of enrollment or employment status at the IHE. In turn, this information will be made available by the state authorities to the local law enforcement agency that has jurisdiction where the IHE is located.

**Clery Act** [20 U.S.C. § 1092(f)](http://clerycenter.org/sites/default/files/Jeanne%20Clery%20Act%20Full%20Text.pdf) As an institution receiving federal financial aid, the University must keep information about crime on and near its respective campuses and provide an annual report (including crime statistics, security measures and policies, and where crimes should be reported) to all students, employees, and the Department of Education.

**FERPA** [20 U.S.C. § 1232g](http://www.law.cornell.edu/uscode/text/20/1232g) The University must provide students the right to inspect their education records and obtain written consent to release the records to anyone other than school officials, authorized government personnel, in connection with financial aid, in an emergency, or for other specifically-allowed purposes.

**MCCCD**

* Residential Faculty Policy Manual
* MAT Management, Administration, and Technology employee group
* PSA Professional Staff Association employee group

**NJCAA** [National Junior College Athletic Association](http://www.njcaa.org/landing/index) founded in 1938, is an association of community college and junior college athletic departments throughout the United States. It is divided into divisions and regions. The current NJCAA holds 24 separate regions.

**Title VI, Civil Rights Act of 1964** No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

**Title IX of the Education Amendment of 1972** [20 U.S.C. §§ 1681-1688](http://www.dol.gov/oasam/regs/statutes/titleix.htm) Prohibits discrimination on the basis of sex in education programs or activities receiving federal financial assistance including employment.